## CSE 4502/5717: Big Data Analytics Lecture 15: 3/28/18

In this lecture we'll present the linear time algorithm of (Kärkäinen and Sanders 2003) for the construction of the suffix array for any given input string.

Let $T=t_{o} t_{1} \ldots t_{m-1}$ be the given input string.
For $k=0,1$, and 2 define $B_{k}=\{i \in[0, m]: i \bmod 3=k\}$
Let $B=B_{1} \cup B_{2}$.
Let $S_{i}$ stand for the suffix of $T$ starting at position $i$, for $\mathrm{o} \leq i \leq m-1$.
Let $S_{\mathrm{c}}$ denote the collection of suffixes $S_{j}$ for each $j \in C$, where $C \subseteq[\mathrm{o}, m-1]$.
Algorithm:

1. Sort the suffixes $S_{B}$; Let this sorted sequence be $Q$;
2. Using the order obtained in step 1, sort the suffixes $S_{B_{0}}$ to get $Q^{\prime}$;
3. Merge $Q$ with $Q^{\prime}$;

Note: It suffices to assume that $\sum=[1, m]$. This is because if the size of the alphabet is larger than $m$, we can sort the characters in the input and replace each character with its rank in the sorted list.

Let

$$
\begin{aligned}
& R_{1}=\left[t_{1} t_{2} t_{3}\right]\left[t_{4} t_{5} t_{6}\right] \ldots\left[t_{m-2} t_{m-1} O\right] \text { and } \\
& R_{2}=\left[t_{2} t_{3} t_{4}\right]\left[t_{5} t_{6} t_{7}\right] \ldots\left[t_{m-1} O O\right]
\end{aligned}
$$

In this string, each substring of length 3 enclosed within square brackets is thought of as a single super character. Any such super character is an integer in the range [1, $\mathrm{m}^{3}$ ].

Example:

| Position | $t_{0}$ | $t_{1}$ | $t_{2}$ | $t_{3}$ | $t_{4}$ | $t_{5}$ | $t_{6}$ | $t_{7}$ | $t_{8}$ | $t_{9}$ | $t_{10}$ | $t_{11}$ |
| ---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $T=$ | 5 | 2 | 1 | 4 | 3 | 3 | 1 | 5 | 3 | 4 | 4 | 1 |

1. $\quad \mathrm{R}_{1}=\left[\begin{array}{lll}2 & 1 & 4\end{array}\right]\left[\begin{array}{lll}3 & 3 & 1\end{array}\right]\left[\begin{array}{lll}5 & 3 & 4\end{array}\right]\left[\begin{array}{lll}4 & 1 & 0\end{array}\right]$

$$
\mathrm{R}_{2}=\left[\begin{array}{lll}
1 & 4 & 3
\end{array}\right]\left[\begin{array}{lll}
3 & 1 & 5
\end{array}\right]\left[\begin{array}{lll}
3 & 4 & 4
\end{array}\right]\left[\begin{array}{lll}
1 & 0 & 0
\end{array}\right]
$$

Construct the string $R=R_{1} R_{2}$. In the above example,
$\mathrm{R}=\left[\begin{array}{lll}2 & 1 & 4\end{array}\right]\left[\begin{array}{lll}3 & 3 & 1\end{array}\right]\left[\begin{array}{lll}5 & 3 & 4\end{array}\right]\left[\begin{array}{lll}4 & 1 & 0\end{array}\right]\left[\begin{array}{lll}1 & 4 & 3\end{array}\right]\left[\begin{array}{lll}3 & 1 & 5\end{array}\right]\left[\begin{array}{lll}3 & 4 & 4\end{array}\right]\left[\begin{array}{lll}1 & 0 & o\end{array}\right]$
Observation: The relative ordering of the suffixes in $R$ is the same as the relative ordering of the suffixes in $S_{B}$.

1a. Sort the super characters in $R$ using radix sort in linear time and replace each super character with its rank in the sorted list. As a result, each super character is replaced
with an integer in the range $[1,|R|]$. If the characters in $R$ are now distinct, we are done with sorting $S_{B}$.

| Rank | 3 | 5 | 8 | 7 | 2 | 4 | 6 | 1 |
| ---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $R=$ | 214 | 331 | 534 | 410 | 143 | 315 | 344 | 100 |

1 b . If the characters in $R$ are not distinct, then recursively sort the suffixes in the resultant string (where each character is an integer in the range $[1,|R|]$ ).
2. To sort $S_{B_{0}}$ :

Let $\operatorname{rank}\left(S_{i}\right)$ be the rank (among the suffixes in $S_{B}$ ) of the suffix $S_{i}$ where $i \in B$.
Note: $S_{j} \leq S_{k}$ where $j, k \in B_{o}$ if and only if $\left(t_{j}, \operatorname{rank}\left(S_{j+1}\right)\right) \leq\left(t_{k}, \operatorname{rank}\left(S_{k+1}\right)\right)$
Example1: $S_{3} \leq S_{o}$ since $(4,5) \leq(5,3)$
Example2: $S_{3} \leq S_{9}$ since $(4,5) \leq(4,7)$
To sort $S_{B_{0}}$, sort pairs of the form $\left(t_{j}, \operatorname{rank}\left(S_{j+1}\right)\right)$ for $j \in B_{o}$ using integer sort. This takes $\mathrm{O}(\mathrm{m})$ time.
3. Merging $Q$ and $Q^{\prime}$ :

Let $S_{i}$ and $S_{j}$ be two suffixes such that $S_{i} \in B_{o}$ and $S_{j} \in B_{1}$ or $B_{2}$
Case 1: $S_{j} \in B_{1}$
$S_{i} \leq S_{j}$ if and only if $\left(t_{i}, \operatorname{rank}\left(S_{i+1}\right)\right) \leq\left(t_{j}, \operatorname{rank}\left(S_{j+1}\right)\right)$
Case 2: $S_{j} \in B_{2}$

$$
S_{i} \leq S_{j} \text { if and only if }\left(t_{i}, t_{i+1}, \operatorname{rank}\left(S_{i+2}\right)\right) \leq\left(t_{j}, t_{j+1}, \operatorname{rank}\left(S_{j+2}\right)\right)
$$

Let $T(m)$ be the RUN TIME of this algorithm on any string of length $m$.
Then, $T(m)=T(2 / 3 m)+O(m)=O(m)$.
Note: This algorithm is known as the skew algorithm (since the split is not $1 / 2,1 / 2$ ).

